

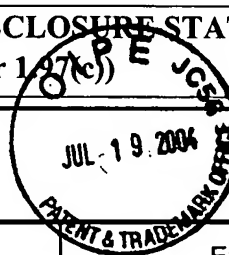
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 020028B-P1-03-DCL	
In Re Application Of: D. Scott Harper, et al.				
Serial No. 10/827,501	Filing Date 04/19/04	Examiner Not yet assigned		Group Art Unit Not yet assigned
Title: Non-Halogenated Hydroxyalkyl-Substituted Phenol Compounds, Antimicrobial Compositions Containing The Same and Methods of Using the Same				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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Serial No.

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Examiner

Not yet assigned

Group Art Unit

Not yet assigned

Non-Halogenated Hydroxyalkyl-Substituted Phenol Compounds, Antimicrobial Compositions

The Same and Methods of Using the Same

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0458 as described below. A duplicate copy of this sheet is enclosed.
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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lisa Peters

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Lisa Peters

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[Signature]

Signature

Dated: 7/15/04

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Docket No.: 020028B-P1-03-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: D. Scott Harper, et al.

Serial No.: 10/827,501

Group Art Unit: Not yet assigned

Filed: 04/19/04

Examiner: Not yet assigned

For: Non-Halogenated Hydroxyalkyl-Substituted Phenol Compounds, Antimicrobial Compositions
Containing The Same and Methods of Using the Same

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. §§ 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R SECTION 1.8

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15th day of July, 2004.

By: Lisa Peters
Lisa Peters

Darryl/020028Bids.doc



Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The following are also included, if applicable, and as required under 37 C.F.R § 1.98:

- ___ In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- ___ The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the ___ reference:
- X The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously submitted to the Office in prior application **U.S. Patent Application No. 10/319,700 with a filing date of 12/13/02**, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

- ___ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).
- ___ I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).



Please credit any overpayment or charge any additional fees to Deposit Account No.: 23-0458.

Date: July 15, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Darryl C. Little", written over a horizontal line.

Darryl C. Little
Reg. No. 40,703
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Customer No.: 29668

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

PC020028B-P1-03-DCL

Application Number

10/827,501

Applicant(s)

D. Scott Harper, et al.

Filing Date

04/19/04

Group Art Unit

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		1 355 109A	06/05/74	Great Britain				
		97 10800 A	03/27/97	WO				
		92 10992 A	07/09/92	WO				
		2 165 741 A	08/10/73	French w/Abstract				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Bioactive Compounds from Iostephane Heterophylla, Natural Product Letters, Vol. 15, No. 2, 2001, Pgs. 93-101.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.